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	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
-	09/719,839	03/14/2001	Isabelle Jouve	022701-907	5002	
	21839	7590 06/19/2002				
	BURNS DO	BURNS DOANE SWECKER & MATHIS L L P POST OFFICE BOX 1404 ALEXANDRIA, VA 22313-1404		EXAMINER		
				KILLOS, PAUL J		
			1	ART UNIT	PAPER NUMBER	
			,	1625	10	
				DATE MAILED: 06/19/2002	)2	

Please find below and/or attached an Office communication concerning this application or proceeding.





## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231 SERIAL NUMBER FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. EXAMINER PAPER NUMBER ART UNIT 10 DATE MAILED: This is a communication from the examiner in charge of your application. COMMISSIONER OF PATENTS AND TRADEMARKS Responsive to communication filed on /8 Mar 2002 This action is made final. A shortened statutory period for response to this action is set to expire Intel month(s), days from the date of this letter. Failure to respond within the period for response will cause the application to become abandoned. 35 U.S.C. 133 Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION: 1. Notice of References Cited by Examiner, PTO-892. 2. Notice of Draftsman's Patent Drawing Review, PTO-948. 3. Notice of Art Cited by Applicant, PTO-1449. 4. Notice of Informal Patent Application, PTO-152. 5. Information on How to Effect Drawing Changes, PTO-1474. Part II SUMMARY OF ACTION 1. Claims\_\_\_\_\_\_ are pending in the application. Of the above, claims \_\_\_\_\_\_ are withdrawn from consideration. 2. Claims have been cancelled. 4. Claims \_\_\_\_\_\_\_ are rejected. 5. Claims 6. Claims\_\_\_ are subject to restriction or election requirement. 7. This application has been filed with informal drawings under 37 C.F.R. 1.85 which are acceptable for examination purposes. 8. Formal drawings are required in response to this Office action. 9. The corrected or substitute drawings have been received on \_\_\_\_ \_\_. Under 37 C.F.R. 1.84 these drawings are acceptable; not acceptable (see explanation or Notice of Draftsman's Patent Drawing Review, PTO-948). 10. The proposed additional or substitute sheet(s) of drawings, filed on \_\_\_\_\_\_ has (have) been approved by the examiner; disapproved by the examiner (see explanation). 11. The proposed drawing correction, filed \_\_\_\_ has been approved; disapproved (see explanation). 12. Acknowledgement is made of the claim for priority under 35 U.S.C. 119. The certified copy has been received not been received □ been filed in parent application, serial no. \_\_\_\_\_; filed on \_\_\_\_\_; 13. Since this application apppears to be in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.

**EXAMINER'S ACTION** 

PTOL-326 (Rev. 2/93)

14. Other

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Art Unit: 1623

This is in response to the communication filed 18 March 2002.

The claims are 2-27. Claims 27,2-6,13-16,18-23 are rejected under 35 U.S.C. 103 as being unpatentable over Hoefnagel wo/94/14746. Example 3 of the reference renders obvious if not clearly anticipated the subject mater of the claims 27,2-6,13-16,18-23. The reference teaches using zinc oxalate in catalytic amounts.

When one considers to molar ration its well within that shown on page 12 of the instant specification. As a note of interest, oxalic acid is a poly carboxylic acid. Why does applicant argue that position of the Examiner that oxalic acid <u>per se</u> can be generated from glyoxylic acid by the Connigaros reaction when claim 21 is directed precisely to that process.

Claims 27, 2-16,13,15-24 are rejected under 35 U.S.C. 103 as being obvious over U.S. Pat 4,339,602 to Schouteeten. Example 1 of the reference is directed to a process for making 4-hydroxy 3-methoxy-mandelic acids by reacting gaiacol, 2-methoxy phenols, and glyoxylic acid in a basis aqueous medium. And, while the reference does not recite poly carboxylic acid, it is well established, and applicant acknowledge in claim 21,and the reference on column 1, lines 21-23 that oxalic acid in a basic aqueous solution. Applicant's argument than the Examiner needs to show that the production of oxalic acid <u>in situ</u> is not considered cogent. Applicants clearly attest to that reaction in their own specification and claims.

Claims 25 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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Claims 25 are provides for the use of  $\beta$ -hydroxy mandelic, but, since the claim does not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a use without any active, positive steps delimiting how this use is actually practiced.

Claims 25 is rejected under 35 U.S.C. 101 because the claimed recitation of a use, without setting forth any steps involved in the process, results in an improper definition of a process, i.e., results in a claim which is not a proper process claim under 35 U.S.C. 101. See for example *Ex parte Dunki*, 153 USPQ 678 (Bd.App. 1967) and *Clinical Products, Ltd.* v. *Brenner*, 255 F. Supp. 131, 149 USPQ 475 (D.D.C. 1966).

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim\$25 are rejected under 35 U.S.C. 103(a) as being unpatentable over wo/94/14746 as applied to claim25 and 26 are above, and further in view of U.S.Pat 4165,341 to Umemuro

The primary reference has been discussed above as it relates to making phydroxyl mandelic acid. The secondary reference, Example II, is directed to exalation of the reaction product of the first reaction to yield benzaldehyde. It would then be obvious to one so the ordinary skill in the to carry out the reaction of the primary reference followed by the reaction of the secondary reference.

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Especially since the secondary reference teaches the reaction of the primary reference of but fails to isolate the product (intermediates) and proceeds to oxidize the p-hydroxyl mandelic acid to the benzaldehyde derivative.

Claims 7-12 are again rejected under 35 U.S.C. 103 as being unpatentable over wo 94/14736 when considered with Chem. Abst 117:191094. The primary reference has been discussed above as it applies to claim 27. The secondary reference is used here for the teaching that aliphatic dicarboxylic acids display higher degree of catalytic activity in acylation reaction

Any inquiry concerning this communication should be directed to Paul J Killos at telephone number 308-0135.

Killos/dl

June 17, 2002.

PRIMARY EXAMINER

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